



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b> ALBAYRAK	<b>U.S. Patent No.</b>
<b>Serial No.</b> 10/028,258	<b>Issued:</b>
<b>Filed:</b> DECEMBER 19, 2001	<b>Docket No.</b> ABS0005/US/2
<b>For:</b> INDUCED PHASE TRANSITION METHOD FOR THE PRODUCTION OF MICROPARTICLES CONTAINING HYDROPHILIC ACTIVE AGENTS	

**SMALL BUSINESS CONCERN  
SMALL ENTITY STATUS STATEMENT**

I am the owner or an official of the small business concern identified below, and am empowered to act on behalf of the concern.

I hereby declare that the small business concern is a manufacturing industry for purposes of determining small business status. The small business qualifies as a small business concern as defined in 37 CFR 1.27(a), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract or law to the invention described in the above-referenced application have been conveyed to and remain with the small business concern. If the rights held by the small business concern are not exclusive, each individual, concern or organization having rights in the invention are listed below, and qualifies as person under 37 CFR 1.27(a)(1), or qualifies as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(c).

- ☐ No such person, concern or organization exists.
- ☐ Each such person, concern or organization is listed below. (NOTE: A separate small entity statement is required from each listed person, concern or organization)

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I acknowledge the duty under 37 CFR 1.27(g)(1) to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Small Business Concern: Alrise Biosystems GmbH

Address of Small Business Concern: Marienstraße 13; 10117 Berlin, Germany

ALRISE Biosystems GmbH  
Robert-Rössle-Straße 10  
Otto-Warburg-Haus (D80)  
D-13125 Berlin  
Telefon: 030 / 94 89 24 80  
Telefax: 030 / 94 89 24 82

Name of Person Signing: CELAL ALBAYRAK

Title of Person Signing: CEO

Signature: 

15.05.2006  
Date

25846

ALRISE Biosystems GmbH  
Robert-Rössle-Straße 10  
Otto-Warburg-Haus (D80)  
D-13125 Berlin  
Telefon: 030 / 94 89 24 80  
Telefax: 030 / 94 89 24 82